

Curriculum Vitae: Dr. Masuma Farooki

Nationality	Dual national: British & Pakistani
Education	Ph.D. in Development Economics & Policy (2010) The Open University (UK) MPhil in Development Studies (2006) IDS, Sussex University (UK) MPhil in Economics (2002) GC University Lahore, (Pakistan)
Languages	English (fluent), Urdu (fair)

Employment record

June 2024 - current	Associate: Executive Director OneWorld Europe
2018 – April 2024	Consulting Director MineHutte (UK)
2014 – 2018	Associate Director – Metals & Mining Consulting S&P Global Market Intelligence (UK)
2012 – 2014	Policy Consultant Raw Materials Group (Sweden)

Relevant professional experience

2019 - 2023	RE-SOURCING Project European Commission: A H2020-funded project Senior researcher & policy strategist Developing a policy framework for sustainable mining value chains entering the EU. Responsible for designing frameworks for comparative analysis of ESG metrics in mineral supply chains for e-mobility, renewable energy and the electrical equipment sectors; assessment of current responsible sourcing/responsible mining initiatives, legislation and policies; networking with EU and International Stakeholders to assist in the development of a globally agreed definition of responsible sourcing.
2023	Role of Technology & AI to support supply chain due diligence Responsible Minerals Initiative Lead researcher Exploratory study in emerging technologies to assist supply chain due diligence for manufacturers. Activities performed include literature and scientific journal reviews of upcoming technologies; expert identification and interviews; and risk and opportunity matrix.
2022-2023	Changing Trends in Mining Company & Indigenous Peoples (IP) Engagement Confidential – Major Mining Company Research & policy strategist



	<p>Provide strategic assessment & framework to guide senior management on corporate policy towards partnering with Indigenous People, in relation to mining projects. Responsible for the assessment of international standards on IP engagement in mining, agriculture and forestry sectors; interviews with industry experts, policymakers and CSOs; drafting of framework to assist senior management design corporate policy with regards to IP engagements.</p>
2021 - 2022	<p>Strategy & Action Plan for Armenia Mining Sector World Bank/ Ministry of Territorial Affairs of Armenian</p> <p>Research team lead & policy strategist</p> <p>Developing a strategy & action plan to translate the Armenian mining vision into guidance documents and actions for the ministry, such that they meet Environmental, Social & Governance best practice principles. Responsible for leading the team by providing guidance and international case studies to national experts on all issues relevant for designing the Armenian mining sector and strategy.</p>
2021- on going	<p>Liberia Extractive Industries Transparency Initiative (EITI) EITI Secretariat</p> <p>Research & data analyst</p> <p>Assessment and training for Liberia EITI Secretariat on contract & license transparency to meet EITI Standard 2.4. Activities performed included assessment of current compliance with contract transparency and gap identification; design of training workshop and tool kit for Liberia EITI Secretariat to improve contract transparency.</p>
2021	<p>ISA & African partnerships for deep-seabed mining International Seabed Authority (ISA)</p> <p>Lead policy researcher</p> <p>Policy Framework for African government participation in deep-seabed mining activity. Responsible for cost-benefit analysis for African policymakers on participating in deep-seabed mining activity in international waters under the authority of the ISA.</p>
2020-2021	<p>Papua New Guinea (PNG) Production Sharing in Mining PNG Chamber of Mines & Petroleum</p> <p>Lead policy research</p> <p>Assessment of Production-Sharing Agreements (PSAs) in the mining sector. Responsible for the research briefing on the nature and impact on social, environmental and economic factors from the potential use of PSAs in the PNG mining sector.</p>
2020	<p>Mineral Development Agreement (MDA) Briefing for African Government Confidential – Mining Company</p> <p>Institutional & policy impact</p> <p>Research led briefing document for the company's MDA negotiating team. Responsible for input into the MDA on best practices on ESG in mining; and developing a briefing document for company and government agencies on the matters covered by the MDA.</p>
2019	<p>European Union Due Diligence Ready Tool! Oeko Institute (Germany)</p> <p>Country specialist</p> <p>Networking and assessment of EU Due Diligence Ready Tool! (sic). Responsible for review and assistance in design the EU Due Diligence Ready Tool for EU Conflict Mineral Regulations; and networking and promotion of the tool for SMEs and industry associations in three EU Member States.</p>

2019	<p>CONNEX Risk Assessment</p> <p>CONNEX Assistance in Negotiating Investment Contracts (funded by GIZ)</p> <p>Principle consultant</p> <p>Risk Assessment Framework for CONNEX engagement. Designed a risk assessment framework for CONNEX to lower their risk profile during CONNEX engagements and assistance programmes.</p>
2016 – 2018	<p>Strategic Dialogue on Sustainable Raw Materials for Europe</p> <p>European Commission (EU)</p> <p>Project lead & principal consultant</p> <p>Research on mineral value chains and sustainable development. Lead author for a number of project reports and policy briefs and lead researcher on challenges & recommendations for promoting mining investment in the EU. Responsible for conducting policy dialogue & stakeholder consultations with resource-rich African countries, Australian and Canadian actors.</p>
2015 – 2016	<p>Mineral Governance and Investment Appraisal for the Lao People's Democratic Republic (LPDR)</p> <p>World Bank</p> <p>Project lead & principal consultant on governance assessment</p> <p>Mineral Governance and Investment Appraisal. Responsible for the assessment of the licensing procedure and investment decision matrix in the mineral sector in Lao; and Licensing system flow analysis and recommendations, World Bank Mining Investment and Governance Review (MInGov) based assessment, recommendations for new licensing and investment appraisal system.</p>
2015 – 2016	<p>EITI Data Standards and Access; Scoping Study</p> <p>World Bank</p> <p>Project management & data standards expert</p> <p>This project reviewed and made recommendations for the data standards for EITI Requirements. Reviewed best practices in data reporting, assessed and recommended standards for data reporting for EITI compliant and candidate countries.</p>

Publications and Conference Papers (selected)

Books

Farooki, Masuma. 2024. *Advancing Responsible Sourcing in Mineral Value Chains*. Edited by Masuma Farooki, Stefanie Degreif, and Alexander Graf. London: Palgrave.

Farooki, Masuma, and Raphael Kaplinsky. 2013. *The Impact of China on Global Commodity Prices*. London: Routledge.

Chapters in books

Farooki, Masuma. 2018. "A Diversification Path for Resource Intensive Economies." In *Global Commodity Markets and Development Economics*, edited by Stephan Pfaffenzeller. Routledge.

Kaplinsky, Raphael, and Masuma Farooki. 2017. "Raul Prebisch and the Terms of Trade: How Things Have Changed." In *The Global Political Economy of Raúl Prebisch*, edited by Matias E Margulis. Taylor & Francis.

Morris, Mike, and Masuma Farooki. 2019. "Understanding Commodities, Linkages and Industrial Development in Africa." In *Balancing Petroleum Policy: Toward Value, Sustainability, and Security*, edited by Alexander Huurdeman and Anastasiya Rozhkova. Washington, DC: World Bank Group.

Policy Briefs & Other Papers

Kaplinsky, Raphael, and Masuma Farooki. 2012. *Promoting Industrial Diversification in Resource*

Intensive Economies - the Experiences of Sub-Saharan Africa and Central Asia Regions. UNIDO Publications. Vienna, Austria: United Nations Industrial Development Organization.

Farooki, Masuma. 2021. Essentials for a Good Responsible Sourcing Standard – Purpose, Balance & Alignment. Briefing Document No.8. Vienna: RE-SOURCING Project.

Farooki, Masuma. 2021. Advocacy & Awareness Building – Connecting the Two Ends of a Mineral Value Chain. Briefing Document No.7. Vienna: RE-SOURCING Project.

Farooki, Masuma. 2021. Essentials of Successful Alliances to Support Responsible Sourcing. Briefing Document No.6. Vienna: RE-SOURCING Project.

Farooki, Masuma. 2021. The Role of Financial Markets in Driving Responsible Sourcing. Briefing Document No.5. Vienna: RE-SOURCING Project.

Farooki, Masuma. 2021. Responsible Sourcing in a Mineral Supply Restricted Environment: More Carrot, Less Stick? Briefing Document No.2. Vienna: RE-SOURCING Project.

Farooki, Masuma. 2018. China's Mineral Sector and the Belt & Road Initiative. Darmstadt: STRADE Project.

Farooki, Masuma, and Paul Jourdan. 2018. Africa and the EU– Renewing Sustainable Partnerships in the Extractives Sector. Darmstadt: STRADE Project.

Peer-reviewed Journals

Farooki, Masuma. 2012. “China's Metals Demand and Commodity Prices: A Case of Disruptive Development?” The European Journal of Development Research 24 (1): 56–70. <https://doi.org/10.1057/ejdr.2011.31>.

Farooki, Masuma. 2012. “The Infrastructure and Commodities Interface in Africa: Time for Cautious Optimism?” Journal of International Development 24 (2): 208–19. <https://doi.org/10.1002/jid.2816>.

Farooki, Masuma. 2012. “The Diversification of the Global Mining Equipment Industry – Going New Places?” Resources Policy 37 (4): 417–24. <https://doi.org/10.1016/j.resourpol.2012.06.006>.

Farooki, Masuma, and Raphael Kaplinsky. 2014. “Promoting Diversification in Resource-Rich Economies.” Mineral Economics 27 (2-3): 103–13. <https://doi.org/10.1007/s13563-014-0050-z>.

Research-based Reports

Farooki, Masuma. 2023. A Common Approach to Defining Responsible Sourcing. Vienna: RE-SOURCING Project.

Farooki, Masuma, and Noé Barriere. 2023. Good Practice Guidance for Responsible Sourcing Cross-Sectoral Guidelines for Public & Private Sector Stakeholders. Vienna: RE-SOURCING Project

Farooki, Masuma, Alejandro Gonzalez, and Irene Schipper. 2023. Meeting the Milestones in the Responsible Sourcing Roadmap Good Practice Guidelines for the Electronics Sector. Vienna: RE-SOURCING Project.

Farooki, Masuma, Kügerl Marie-Theres, and Noé Barriere. 2021. Meeting the Milestones in the Responsible Sourcing Roadmap Good Practice Guidelines for the Renewable Energy Sector. Vienna: RE-SOURCING Project.

Farooki, Masuma. 2020. State of Play – The International Responsible Sourcing Agenda. Vienna: RE-SOURCING Project.

Farooki, Masuma, Chris Hinde and Anton Lof. 2018. Supporting the EU Mineral Sector: Capitalising on EU Strengths Through an Investment Promotion Strategy. Darmstadt: STRADE Project.

Farooki, Masuma, with David Humphreys and Olle Ostensson. 2018. The EU Raw Material Engagement with Industrial Countries: Australia, Canada, China, Japan, Russia and the United States. Darmstadt: STRADE Project.

Farooki, Masuma. 2018. Non-European Country Engagement with Resource-Rich Developing Countries. Darmstadt: STRADE Project.

Farooki, Masuma, Adam Webb and Chris Hinde. 2017. The Competitiveness of the European Union's

Mining Sector. Darmstadt: STRADE Project.